



05/25/04

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

**Jodie L. Reynolds et al.**

Confirmation No. 2222

Application No. 10/784,754

Filed: February 23, 2004

For: **POLYMORPHIC CODEC SYSTEM  
AND METHOD**

Group Art Unit: 2681

Examiner:

Date: May 24, 2004


INFORMATION DISCLOSURE STATEMENT

TO THE COMMISSIONER FOR PATENTS:

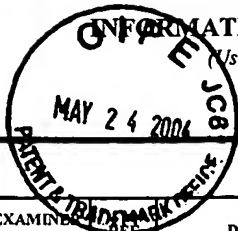
1. Pursuant to the duty of disclosure, documents listed on the accompanying Form PTO-1449 (or equivalent) are presented for the Examiner's consideration.
  - ☐ Copies of listed documents are enclosed. (37 CFR § 1.98(a))
  - ☒ Copies of listed U.S. patent documents are omitted because this application was filed after June 30, 2003 and is, thus, subject to image file wrapper processing. Copies of listed foreign patent documents and non-patent literature are enclosed.
  - ☐ Copies of the documents listed on sheet(s) \_\_\_\_\_ of Form PTO-1449 (or equivalent) are omitted because (1) they are already of record in U.S. Patent Application No. \_\_\_\_\_, filed \_\_\_\_\_, on which this application relies for an earlier filing date under 35 U.S.C. § 120; and (2) any information disclosure statement filed in the prosecution of Application No. \_\_\_\_\_, complies with 37 CFR §§ 1.98(a) through (c). (37 C.F.R. § 1.98(d))
2. ☐ The Examiner's attention is directed to the enclosed copy of copending U.S. Patent Application No. \_\_\_\_\_, filed \_\_\_\_\_, for \_\_\_\_\_, which is cited in this application.
3. This information disclosure statement is being submitted (check box a., b., or c.):
  - a. ☒ Within three months of the filing date of a national application or entry of the national stage in an international application; or before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR 1.114. (No statement under 37 CFR 1.97(e) is required.); or

- b. ☐ After the period set forth in paragraph 3a, but before the mailing date of either a final action, a notice of allowance, or an action that otherwise closes prosecution in the application. (Check box i. or ii.)
- i. ☐ A \$180.00 information disclosure statement submission fee set forth in 37 CFR 1.17(p) is enclosed, or
- ii. ☐ A statement specified by 37 CFR 1.97(e) is set forth below; or
- c. ☐ After the mailing date of a final action or notice of allowance and on or before payment of the issue fee. A statement specified by 37 CFR 1.97(e) is set forth below. Enclosed is a \$180.00 information disclosure statement processing fee set forth in 37 CFR 1.17(p).
4. If a statement specified by 37 CFR 1.97(e) is required, the attorney or agent signing below hereby states that:
- ☐ each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
- ☐ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.
5. ☐ A concise explanation of the relevance of each document not in the English language and/or selected documents in the English language is set forth below.

Respectfully submitted,

By   
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Attorney Docket No. 60316/9



## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

60316/9

Application Number

10/784,754

Applicant(s)

Danny L. Mabey et al.

Filing Date

February 23, 2004

Group Art Unit

2681

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	6,157,965	12/05/00	Mohammed et al.	710	8	02/27/98
	A2	5,539,908	07/23/96	Chen et al.	395	700	04/21/95
	A3	6,252,544	06/26/01	Hoffberg	342	357.1	01/25/99
	A4	6,195,692	02/27/01	Hsu	709	219	06/02/97
	A5	6,243,676	06/05/01	Witteman	704	243	12/23/98
	A6	6,115,755	09/05/00	Krishan	709	250	04/09/98
	A7	6,085,236	07/04/00	Lea	709	220	01/06/98
	A8	6,624,761	09/23/03	Fallon	341	51	10/29/01
	A9	6,421,726	07/16/02	Kenner et al.	709	225	03/01/98

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	B1	Ostman, Charles; Sentience on Demand, as an Online Commodity; 1997, 1998; <a href="http://www.biota.org/ostman/sent1.htm">www.biota.org/ostman/sent1.htm</a> ; pgs. 1-11.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b> Applicant(s): <b>Danny L. Mabey et al.</b>		Docket No. <b>60316/9</b>	
Serial No. <b>10/784,754</b>	Filing Date <b>February 23, 2004</b>	Examiner	Group Art Unit <b>2681</b>



Invention: **POLYMORPHIC CODEC SYSTEM AND METHOD**

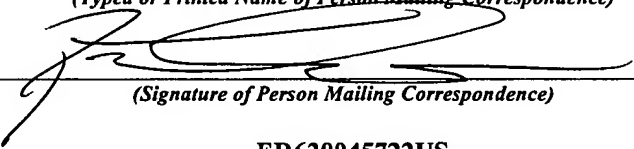
I hereby certify that the following correspondence:

**Information Disclosure Statement; PTO-1449 with copy of article only; postcard.**

*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

May 24, 2004  
*(Date)*

**Kory D. Christensen**  
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